## CALTRANS

## STATE OF CALIFORNIA

# DEPARTMENT OF TRANSPORTATION 

BID

FOR CONSTRUCTION ON STATE HIGHWAY IN ORANGE COUNTY IN SAN JUAN CAPISTRANO FROM ROUTE 74/5 SEPARATION TO 0.5 MILE SOUTH OF JUNIPERO SERRA ROAD UNDERCROSSING
In District 12 On Route 5

UNDER

Notice to Bidders and Special Provisions dated April 15, 2024

Project plans approved February 15, 2024
Standard Specifications dated 2023
Standard Plans dated 2023

Identified by<br>Contract No. 12-0S2804<br>12-Ora-5-9.6/10.4<br>Project ID 1220000014<br>Federal - Aid Project<br>ACHSIM-0052(045)E

## Electronic Bidding Contract

Bids open Thursday, May 30, 2024

Dated April 15, 2024

## STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION BID TO THE DEPARTMENT OF TRANSPORTATION DES-OE-0102.1(REV 1/13/2017)

NAME OF BIDDER Ortiz Enterprises, Inc.
BUSINESS STREET ADDRESS 6 Cushing Suite 200
CITY, STATE, ZIP Irvine, CA, 92618
TELEPHONE NO. with AREA CODE 9497531414
FAX NO. with AREA CODE $\underline{9497531477}$

CONTRACTOR LICENSE NO. 467088
PUBLIC WORKS CONTRACTOR REGISTRATION NO. 1000003766

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the Notice to Bidders.

For a cost plus time based bid on a contract without a plant establishment or permanent erosion control establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

For a cost plus time based bid on a contract with a plant establishment or permanent erosion control establishment period, Bidder additionally agrees to perform the non-establishment work within the number of working days bid for non-establishment work.
2. For a lump sum based bid, Bidder submits this bid with a total price in the total bid space provided on the Bid Item List. For a unit price or cost plus time based bid, Bidder submits this bid with a unit price and the item total (the product of the unit price and the quantity) for each item and a total price (the sum of the item totals) in the spaces provided on the attached Bid Item List. For a unit price with additive item based bid, Bidder submits this bid with a unit price and an item total for each item and a total base bid (the sum of the item totals) and the additive items in the spaces provided on the attached Bid Item List. Additionally, for a cost plus time based bid, Bidder submits this bid with working days bid for non-establishment work, total bid for time, and total bid for bid comparison in the spaces provided on the Bid Item List.

Bidder agrees:
2.1 If a discrepancy between the unit price and the item total exists, the unit price prevails except:
2.1.1 If the unit price is omitted, or the same as the item total, the item total prevails and the unit price is the quotient of the item total and the quantity.
2.1.2 If a decimal error is apparent in the product of the unit price and the quantity, the Department will use either the unit price or item total based on the closest by percentage to the unit price or item total in the Department's Final Estimate.
2.2 If the unit price and the item total are omitted, the bid may be determined nonresponsive. If a lump sum total price is omitted, the bid may be determined nonresponsive.
2.3 Bids on lump sum items are item totals. If a unit price for a lump sum item is entered and it differs from the the item total, the item total prevails.
2.4 Entries are to be expressed in dollars or decimal fractions of a dollar. Symbols such as commas and dollar signs are ignored and have no significance in establishing unit price or item total.
2.5 Unit prices and item totals are interpreted by the number of digits and decimal placement. Do not round item totals or the total bid.
2.6 For a lump sum based bid, the item total is the bid amount the Department uses for bid comparison.

For a unit price based bid, the sum of the item totals is the bid amount the Department uses for bid comparison.

For a cost plus time based bid, the sum of the item totals and the total bid for time is the bid amount the Department uses for bid comparison.
2.7 The Department's decision on the bid amount is final.
3. Bidder has and acknowledges the following addenda: 1
4. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid, including any additive items:

## Electronic Bidder's Bond

5. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:
5.1 Criminal prosecution
5.2 Rejection of the bid
5.3 Rescission of the award

(F) - STRUCTURE EXCAVATION (RETAINING WALL)


| 0034 | 208597 |  | 250.000 | LF |  | $\$ 3.00$ | $\$ 3,250.00$ |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | (F) -1 | $1 / 2 "$ | PLASTIC | PIPE | (SCHEDULE | $40)$ |
| 0035 | 208598 |  | (SUPPLY LINE) |  |  |  |  |


| 0043 | 398100 | 740.000 LF | \$4.50 | \$3,330.00 |
| :---: | :---: | :---: | :---: | :---: |
| REMOVE ASPHALT CONCRETE DIKE |  |  |  |  |
| 0044 | 398200 | 1550.000 SQYD | \$14.00 | \$21,700.00 |
| COLD PLANE ASPHALT CONCRETE PAVEMENT |  |  |  |  |
| 0045 | 401050 | 190.000 CY | \$700.00 | \$133,000.00 |
| Jointed plain concrete pavement |  |  |  |  |
| 0046 | 414241 | 400.000 LF | \$16.00 | \$6,400.00 |
| ISOLATION JOINT SEAL (SILICONE) |  |  |  |  |
| 0047 | 420201 | 540.000 SQYD | \$10.00 | \$5,400.00 |
| GRIND EXISTING CONCRETE PAVEMENT |  |  |  |  |
| 0048 | 498052 | 79.000 LF | \$1,900.00 | \$150,100.00 |

60" CAST-IN-DRILLED-HOLE CONCRETE PILE (SIGN FOUNDATION)


INSTALL ROADSIDE SIGN PANEL ON EXISTING POST


TRAFFIC CENSUS STATION SYSTEMS


## STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION SUBCONTRACTOR LIST DES-OE-0102.2C(REV. 3/2015)

Enter Bid Item Number. (Item)

Enter percent of Bid Item subcontracted. (\%)
If the percentage of the bid item work is less than 100 percent, list the description of the portion of the work to be subcontracted. Enter the description of the percentage of work to be performed by subcontractor. (Description)

DO NOT USE SEMICOLONS (;) IN YOUR INPUT.

Sample Data Entry:

| Item | $\%$ | Description |
| :--- | :--- | :--- |
| 6 | 75 | striping |
| 42 | 15 | reinforcement |
| 54 | 100 |  |



3) List this subcontractor? © YES C NO
Business Name CORAL CONSTRUCTION CO. Location City WILSONVILLE
California Contractor License Number

[^0]| Portion of Work <br> Item | Subcontracted <br> 98 | 100 | ITEMS $98-102$ |
| :--- | :---: | :---: | :---: |
| 105 | 9.4 | MOBLIZATION - ELECTRICAL |  |

```
5) List this subcontractor? ( YES ( NO
    Business Name LEGACY REINFORCING STEEL Location City CHULA VISTA State CA
        California Contractor License Number 1053958 Public Works Contractor Registration
Number 1000443050
    Portion of Work Subcontracted
    Item % Description
    50 100
    76 12 RESTEEL - CONCRETE BARRIER
    77 12 RESTEEL - CONCRETE BARRIER
    12 RESTEEL - CONCRETE BARRIER
    12 RESTEEL - CONCRETE BARRIER
6) List this subcontractor? ( YES C NO Business Name LEGACY REINFORCING STEEL Location City CHULA VISTA State CA California Contractor License Number 1053958 Public Works Contractor Registration Number 1000443050
Portion of Work Subcontracted
Item \% Description
80 RESTEEL - CONCRETE BARRIER
81 RESTEEL - CONCRETE BARRIER
8212 RESTEEL - CONCRETE BARRIER
105 1.5 MOBILIZATION - RESTEEL
```



10) List this subcontractor? ( YES ( NO

Business Name ALL AMERICAN ASPHALT Location City CORONA State CA
California Contractor License Number 267073 Pubic Works Contractor Registration

Number 1000001051
Portion of Work Subcontracted
Item \% Description
$38 \quad 100$ ITEMS 38,39,42,44
11) List this subcontractor? ( YES ( NO Business Name MANERI TRAFFIC CONTROL INC. Location City TEMECULA State CA California Contractor License Number 1017741 Public Works Contractor Registration Number 1000043135

Portion of Work Subcontracted
Item \% Description
$6 \quad 100$
727 TRAFFIC CONTROL - LANE CLOSURES

```
12) List this subcontractor? ( YES C NO
Business Name DIVERSIFIED LANDSCAPE CO. Location City WILDOMAR State CA California Contractor License Number 576183 Public Works Contractor Registration
Number 1000009249
Portion of Work Subcontracted
Item \% Description
21 CLEARING \& GRUB - CHECK \& TEST ONLY
27100 ITEMS 27-35
```

13) List this subcontractor? ( YES C NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item \% Description
14) List this subcontractor? $C$ YES $C$ NO

Business Name Location City State
California Contractor License Number Public Works Contractor Registration Number
Portion of Work Subcontracted
Item \% Description
15) List this subcontractor? $C$ YES $C$ NO

Business Name Location City State California Contractor License Number Pubic Works Contractor Registration Number Portion of Work Subcontracted Item \% Description
16) List this subcontractor? © YES © NO

| Business Name Location City State |  |  |
| :--- | :--- | :--- |
| California Contractor License Number | Public Works Contractor Registration Number |  |
| Portion of Work Subcontracted | Description |  |
| Item | \% |  |


18) List this subcontractor? $\quad$ Y YES $\quad$ NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item \% Description
19) List this subcontractor? $\quad$ YES $C$ NO

Business Name Location City State
California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item \% Description
20) List this subcontractor? $C$ YES $\quad$ NO

Business Name Location City State
California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item \% Description
21) List this subcontractor? $C$ YES $C$ NO

Business Name Location City State
California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item \% Description
22) List this subcontractor? C YES C NO
Business Name Location City State
California Contractor License Number
Portion of Work Subcontracted $\quad$ Public Works Contractor Registration Number

Item \% Description

24) List this subcontractor? $\quad$ YES $\quad$ NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item \% Description
25) List this subcontractor? $C$ YES $C$ NO

Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item \% Description
26) List this subcontractor? $\quad$ YES $C$ NO

Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item \% Description
27) List this subcontractor? $\quad$ YES $\quad$ NO

Business Name Location City State
California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item \% Description
28) List this subcontractor? $C$ YES $C$ NO

Business Name Location City State
California Contractor License Number Public Works Contractor Registration Number
Portion of Work Subcontracted
Item \% Description
29) List this subcontractor? $\quad$ YES $\quad$ NO Business Name Location City State California Contractor License Number Pubic Works Contractor Registration Number Portion of Work Subcontracted Item \% Description
30) List this subcontractor? $\quad$ YES $\quad$ NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted
Item \% Description
31) List this subcontractor? $\quad$ YES $\quad$ NO

Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted
Item \% Description
32) List this subcontractor? $\quad$ YES $\quad$ NO

Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item \% Description
33) List this subcontractor? $\quad$ Y YES $\quad$ NO

Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item \% Description
34) List this subcontractor? $\quad$ YES $\quad$ NO

Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item \% Description
35) List this subcontractor? ( YES ( NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item \% Description
36) List this subcontractor? $\quad$ YES $\quad$ NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item \% Description
37) List this subcontractor? $\quad$ YES C Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item \% Description
38) List this subcontractor? $\quad$ YES C NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted
Item \% Description
39) List this subcontractor? $\quad$ YES CO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item \% Description
40) List this subcontractor? ( YES C Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item \% Description
41) List this subcontractor? ( YES C Business Name Location City State California Contractor License Number Public Works Contractor Registration Number

Portion of Work Subcontracted
Item \% Description

44) List this subcontractor? ( YES C Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item \% Description
45) List this subcontractor? $\quad$ YES $\quad$ NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item \% Description
46) List this subcontractor? ( YES C Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item \% Description

| 47) List this subcontractor? C YES |  |  |
| :--- | :--- | :--- |
| Business Name Location City |  |  |
| California Contractor License Number |  |  |
| Portion of Work Subcontracted | Public Works Contractor Registration Number |  |
| Item | Description |  |

48) List this subcontractor? $\bigcirc$ YES $\quad$ NO

Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item \% Description
49) List this subcontractor? © YES $\quad$ NO

Business Name Location City State
California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted Item \% Description
50) List this subcontractor? $\quad$ Yes $\quad$ NO

Business Name Location City State
California Contractor License Number Public Works Contractor Registration Number
Portion of Work Subcontracted Item \% Description
51) List this subcontractor? ( YES © NO

Business Name Location City State
California Contractor License Number Public Works Contractor Registration Number
Portion of Work Subcontracted
Item \% Description
52) List this subcontractor? ( Y YES NO

Business Name Location City State
California Contractor License Number Public Works Contractor Registration Number
Portion of Work Subcontracted
Item \% Description
53) List this subcontractor? © YES $\quad$ NO Business Name Location City State California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted
Item \% Description
54) List this subcontractor? $\quad \mathrm{C}$ YES C NO

Business Name Location City State
California Contractor License Number Public Works Contractor Registration Number Portion of Work Subcontracted
Item \% Description
55) List this subcontractor? ( YES $\quad$ NO

Business Name Location City State
California Contractor License Number Public Works Contractor Registration Number
Portion of Work Subcontracted
Item \% Description
56) List this subcontractor? $C$ YES $C$ NO

Business Name Location City State
California Contractor License Number Public Works Contractor Registration Number
Portion of Work Subcontracted
Item \% Description
57) List this subcontractor? $\quad \mathrm{C}$ YES C NO

Business Name Location City State
California Contractor License Number Public Works Contractor Registration Number
Portion of Work Subcontracted
Item \% Description
58) List this subcontractor? $\quad$ YES $\quad$ NO

Business Name Location City State
California Contractor License Number Public Works Contractor Registration Number
Portion of Work Subcontracted
Item \% Description
59) List this subcontractor? $C$ YES $C$ NO

Business Name Location City State
California Contractor License Number Public Works Contractor Registration Number
Portion of Work Subcontracted
Item \% Description
60) List this subcontractor? ( YES C N

Business Name Location City State
California Contractor License Number Public Works Contractor Registration Number
Portion of Work Subcontracted
Item \% Description

## STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS DES-OE-01012.a (REV 8/2012)

To opt out of payment adjustments for price index fluctuations as specified, complete this form.

I opt out of the payment adjustments for price index fluctuations.

Enter Date and Name to opt out of payment adjustments for price index fluctuations.

Date:

Bidder's Name:

Signature of Bidder: $\qquad$

## STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION SMALL BUSINESS/DVBE/DBE CERTIFICATION STATUS DES-OE-0102.4 (REV 1/2024)

Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:
O YES

- NO
Certification number?:

Are you certified as a "Disabled Veteran Business Enterprise" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:
o YES

- NO
Certification number?:

Are you certified as a "Disadvantaged Business Enterprise" by the California Unified Certification Program (CUCP)?:
O YES

- NO
Certification number?:

Note: This questionnaire is included for statistical reporting only.

## CERTIFICATIONS <br> FEDERAL-AID PROJECTS DISCLOSURE OF LOBBYING ACTIVITIES CERTIFICATION

Bidder certifies, to the best of his or her knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC section 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $\$ 10,000$ and not more than $\$ 100,000$ for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over $\$ 100,000$ and these subcontractors shall certify and disclose.

## DISCLOSURE OF LOBBYING ACTIVITIES Approved by OMB 0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352 ○ YES © NO

1. Type of Federal Action:
2. Status of Federal Action:
3. Report Type:

For Material Change Only:
Year
Quarter
Date of last report
4. Name and Address of Reporting Entity:

Tier , if known
Congressional District, if known :
5. If Reporting Entity in No. 4 is Subawardee Enter Name and Address of Prime: Congressional District, if known:
6. Federal Department/Agency:
7. Federal Program Name/Description: CFDA Number, if applicable
8. Federal Action Number, if known:
9. Award amount, if known (\$):
10. a. Name and Address of Lobby Registrant (if individual, last name, first name, MI):
b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):
11. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than $\$ 10,000$ and not more than $\$ 100,000$ for each such failure.

Print Name:
Title:
Telephone No.:
Date:

Signature $\qquad$

Federal Use Only:
Authorized for Local Reproduction
Standard Form - LLL (Rev.7-97)

## INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State, and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number;

Invitation for Bid (IFB) number; grant announcement number, the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
10.(a)Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action. (b)Enter the full names of the individual(s) performing services and include full
 Initial (MI).
11.The certifying official shall sign and date the form, print his/her name title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

## STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION CERTIFICATIONS

## EQUAL EMPLOYMENT OPPORTUNITY REGULATION CERTIFICATION

Bidder ORTIZ ENTERPRISES, INC, proposed subcontractor ,certifies that he has participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

## Notes:

The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of $\$ 10,000$ or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note 41 CFR 60-1.7(b)(1) prevents the award of contracts and subcontracts unless the Contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29, DEBARMENT AND SUSPENSION CERTIFICATION

Bidder, under penalty of perjury, certifies that, except as noted below, it or any other person associated therewith in the capacity of owner, partner, director, officer, manager:
is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;
has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;
does not have a proposed debarment pending; and
has not been indicted, convicted, or had a civil judgement rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

## UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code $\S 6101$, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

NONCOLLUSION
NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID
Under PCC 7106 and 23 USC 112, the bidder declares as follows: State of CA County of ORANGE

Name: PATRICK ORTIZ ,
being first duly sworn, deposes and says that he or she is PRESIDENT of ORTIZ ENTERPRISES, INC.
the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

## CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code § 7110, the contractor acknowledges that:

1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders,
as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

## NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

VIOLATION OF LAW OR A SAFETY REGULATION
Under Pub Cont Code 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:
Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation?
O YES © NO
If the answer is yes, explain the circumstances in the following space.

## ANTITRUST LAW

Under Pub Con Code $\S$ 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder ohas ohas not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code $\$ 1101$, with any public entity, as defined in Pub Cont Code $\$ 1100$, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the Standard Specifications.

## BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?
OYES • NO
2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsible bidder by a public entity, including federal, State, local or regional entities?
OYES ©NO
3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities? © YES

- NO

4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:
4.1. Date of each nonresponsibility determination
4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination
4.3. Contract number for each nonresponsibility determination

## Executive Order N-6-22 - Russia Sanctions

On March 4, 2022, Governor Gavin Newsom issued Executive Order N-6-22 (the EO) regarding Economic Sanctions against Russia and Russian entities and individuals. "Economic Sanctions" refers to sanctions imposed by the U.S. government in response to Russia's actions in Ukraine, as well as any sanctions imposed under state law unless the contract has been Federalized (i.e. there is federal participation in any phase). By submitting a bid or proposal, Contractor represents that it is not a target of Economic Sanctions. Should the State determine Contractor is a target of Economic Sanctions or is conducting prohibited transactions with sanctioned individuals or entities,
that shall be grounds for rejection of the contractor's bid/proposal any time prior to contract execution, or, if determined after contract execution, shall be grounds for termination by the state.

END CERTIFICATIONS - FEDERAL

## Bidder's Bond

## DES-OE-0102.3(REV 3/2008)

Bidder's Security: Electronic Bidder's Bond

If electronic Bid Bond data is not submitted with bid, submit Bidder's Security. Bidder's Security must be received by Office Engineer before bid opening date and time. Bid Bond forms can be downloaded from the caltrans Electronic Bidding website at: http://www.dot.ca.gov/hq/esc/oe/ electronic bidding/electronic bidding.html. Bid Bond forms are also the last 2 pages of the printout of the electronic bid.

Bond ID: SCA0516977014 Verify|Clear|

Bond Registry: Surety2000

Bond Percent: 10

# STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION Contract No. 12-0S2804 

 BIDDER'S BONDBond No.
DES-OE-0102.3(REV 3/2008)

We $\qquad$ as Principal, and
as Surety are bound unto the state of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent ( $10 \%$ ) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

## THE CONDITION OF THIS OBLIGATION IS SUCH,THAT:

WHEREAS, the Principal is submitting a bid to the Obligee, for CONSTRUCTION ON STATE HIGHWAY IN
ORANGE COUNTY IN SAN JUAN CAPISTRANO FROM ROUTE 74/5
SEPARATION TO 0.5 MILE SOUTH OF JUNIPERO SERRA ROAD
UNDERCROSSING
In District 12 On Route 5 for which bids are to be opened at 1727 30th Street, Sacramento CA 95816 on Thursday, May 30, 2024

NOW, THEREFORE, if the Principal is awarded the contract, and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the obligee and judgment is recovered, the Surety shall pay all costs incurred by the obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated $\qquad$ , 20 $\qquad$ .

Principal

Surety

> Address of Surety (For correspondence or claims)

By

## CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California

County of $\qquad$
On this $\qquad$ before me,

personally appeared $\qquad$ ,
Name(s) of Signer(s)
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity (ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.
(SEAL) $\qquad$ Signature of Notary Public

## Bid Bond in Accordance with Contract Specifications

Be sure to refer to the actual bond documents referenced in the contract specifications for specific terms before completing this form.

| PRINCIPAL NAME | PRINCIPAL ADDRESS |
| :--- | :--- |
| Ortiz Enterprises Incorporated | 6 Cushing Suite 200, Irvine, CA 92618 |
| SURETY NAME | SURETY ADDRESS |
| Berkeley Insurance Company | 412 Mt. Kemble Ave, Suite 310 N, Morristown, NJ 07960 |
| OBLIGEE NAME | OBLIGEE ADDRESS |
| State of California, Department of Transportation | 1727 30th Street, Bidders' Exchange, MS 26, Sacramento, CA 95816 |

## Bond Information

| BID DATE | CONTRACT ID | CONTRACT VENDOR ID |
| :--- | :--- | :--- |
| $05 / 30 / 2024$ | $12-0 S 2804$ | VC0000022161 |

## PROJECT DESCRIPTION

Add secondary auxiliary lane in Orange County In San Juan Capistrano From Route 74/5 Separation To 0.5 Mile South Of Junipers Serra Road Undercrossing.

AMOUNT OF BID SECURITY
AMOUNT OF BID SECURITY-SPELLED OUT
Ten Percent of the Amount of the Bid
$10 \%$
BOND ENTERED AND EXECUTED BY

John G. Kookootsedes
ATTORNEY-IN-FACT SIGNATURE


Know all men by these presents that Berkeley Insurance_ Company
a Corporation duly organized under the laws of the State of Delaware $\qquad$ , are held and firmly bound unto the above owner/obligee by the transmission. The surety agrees to waive the statute of fraud defense and further agrees that the owner/obligee is a third party beneficiary of the waiver for the purposes of enforcing this bid bond.

KNOW ALL MEN BY THESE PRESENTS, that BERKLEY INSURANCE COMPANY (the "Company"), a corporation duly organized and existing under the laws of the State of Delaware, having its principal office in Greenwich, CT, has made, constituted and appointed, and does by these presents make, constitute and appoint: John G. Kookootsedes
its true and lawful Attorney-in-Fact, to sign its name as surety only as delineated below and to execute, seal, acknowledge and deliver any and all bonds and undertakings, with the exception of Financial Guaranty Insurance, providing that no single obligation shall exceed Fifty Million and $\mathbf{0 0 / 1 0 0}$ U.S. Dollars (U.S. $\mathbf{\$ 5 0 , 0 0 0 , 0 0 0 . 0 0}$ ), to the same extent as if such bonds had been duly executed and acknowledged by the regularly elected officers of the Company at its principal office in their own proper persons.

This Power of Attorney shall be construed and enforced in accordance with, and governed by, the laws of the State of Delaware, without giving effect to the principles of conflicts of laws thereof. This Power of Attorney is granted pursuant to the following resolutions which were duly and validly adopted at a meeting of the Board of Directors of the Company held on January 25, 2010:

> RESOLVED, that, with respect to the Surety business written by Berkley Surety, the Chairman of the Board, Chief Executive Officer, President or any Vice President of the Company, in conjunction with the Secretary or any Assistant Secretary are hereby authorized to execute powers of attorney authorizing and qualifying the attorney-in-fact named therein to execute bonds, undertakings, recognizances, or other suretyship obligations on behalf of the Company, and to affix the corporate seal of the Company to powers of attorney executed pursuant hereto; and said officers may remove any such attorney-in-fact and revoke any power of attorney previously granted; and further
> RESOLVED, that such power of attorney limits the acts of those named therein to the bonds, undertakings, recognizances, or other suretyship obligations specifically named therein, and they have no authority to bind the Company except in the manner and to the extent therein stated; and further
> RESOLVED, that such power of attorney revokes all previous powers issued on behalf of the attorney-in-fact named; and further
> RESOLVED, that the signature of any authorized officer and the seal of the Company may be affixed by facsimile to any power of attorney or certification thereof authorizing the execution and delivery of any bond, undertaking, recognizance, or other suretyship obligation of the Company; and such signature and seal when so used shall have the same force and effect as though manually affixed. The Company may continue to use for the purposes herein stated the facsimile signature of any person or persons who shall have been such officer or officers of the Company, notwithstanding the fact that they may have ceased to be such at the time when such instruments shall be issued.

IN WITNESS WHEREOF, the Company has caused these presents to be signed and attested by its appropriate officers and its corporate seal hereunto affixed this $\underline{2}^{\text {nd }}$ day of _May,$~ 2024$.

> Attest: Berkeley Insurance Company
(Seal)


Executive Vice President \& Secretary


## STATE OF CONNECTICUT )

## ) ss: <br> COUNTY OF FAIRFIELD <br> )

Sworn to before me, a Notary Public in the State of Connecticut, this $2^{\text {nd }}$ day of _ May , 2024, by Philip S. Welt and Jeffrey M. Hater who are sworn to me to be the Executive Vice President and Secretary, and the Senior Vice President, respectively, of Berkeley Insurance Company.

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        MARIA C. RUNDBAKEN
        NOTARY PUBLIC
        CONNECTICUT
MY COMMISSION EXPIRES 04-30-2029
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Notary Public, State of Connecticut

## CERTIFICATE

I, the undersigned, Assistant Secretary of BERKLEY INSURANCE COMPANY, DO HEREBY CERTIFY that the foregoing is a true, correct and complete copy of the original Power of Attorney; that said Power of Attorney has not been revoked or rescinded and that the authority of the Attorney-in-Fact set forth therein, who executed the bond or undertaking to which this Power of Attorney is attached, is in full force and effect as of this date.

Given under my hand and seal of the Company, this 05/16/2024


[^0]:    4) List this subcontractor? ( YES ( NO

    Business Name HIGH LIGHT ELECTRIC INC. Location City COLTON State CA California Contractor License Number Public Works Contractor Registration Number 1000002760

